PTO/SB/82 (09-03)

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Application Number	10/047,935
Filing Date	10/23/01
First Named Inventor	Michael Varney
Art Unit	1624
Examiner Name	Bruck Kifle
Attorney Docket Number	

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Assignee of record Statement under 3	of the entire in 7 CFR 3.73(b)	nterest. See 37 CF is enclosed. (Form	R 3.71. PTO/SB/96)					:
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ate Nov. 4	,2003		Telephon	е	858-62	22-886	1	
OTE: Signatures of all the inventors inature is required, see below*.	· · · · · · · · · · · · · · · · · · ·	ord of the entire interest or	their representative	e(s) are re	quired. Submi	t multiple form	s if more th	han one
1	ns are submitted.	· · · · · · · · · · · · · · · · · · ·			····			

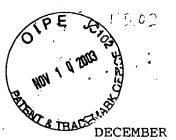
This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application... Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37	CFK 3.73(D)
Applicant/Patent Owner: Varney, et. al.	
Application No./Patent No.: 10/047,935 Filed/Issue Date: Antiproliferative Substituted 5-T Entitled: Selenopyrimidinone Compounds	hiapyrimidinone and 5-
Agouron Pharmaceuticals Inca Corpor (Name of Assignee) Corpor (Type of Assignee)	ation e, e.g., corporation, partnership, university government agency, etc.) RECEIVED
states that it is: 1. 🔀 the assignee of the entire right, title, and interest; or	
2. an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is in the patent application/patent identified above by virtue of either:	NOV 1 8 2003 -% TECH CENTER 1600/2900
A. [x] An assignment from the inventor(s) of the patent application/pate in the United States Patent and Trademark Office at Reel 878 attached.	ent identified above. The assignment was recorded 5, Frame 0943, or for which a copy thereof is
OR .	
B. [] A chain of title from the inventor(s), of the patent application/pater below: 1. From: To: To: To: To: To: To: To: To: To:	
The document was recorded in the United States Patent a Reel, or for v 2. From: To: The document was recorded in the United States Patent a	which a copy thereof is attached
Reel , , , , , , , , , , , , , , , , , ,	which a copy thereof is attached.
3. From:	and Trademark Office at for which a copy thereof is attached.
[] Additional documents in the chain of title are listed on a su	upplemental sheet.
[] Copies of assignments or other documents in the chain of title are a [NOTE: A separate copy (i.e., the original assignment document or must be submitted to Assignment Division in accordance with 37 C recorded in the records of the USPTO. See MPEP 302.08]	a true copy of the original document)
The undersigned (whose title is supplied below) is authorized to act on Nov. 4 , 2003	behalf of the assignee. Bryan C. Zielinski
Date 858-622-8864	Typed or printed name
Telephone number	Signature
	Asst. General Patent Counsel

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.







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01074-0115-02

DECEMBER 22, 1997

PTAS FINNEGAN HENDERSON, FARABOW, ET AL WILLIAM F. RAUCHHOLZ 1300 I STREET, N.W. WASHINGTON, D.C. 20005

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RECORDATION DATE: 11/07/1997

REEL/FRAME: 8785/0943 NUMBER OF PAGES: 4

ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT' FOR DETAILS).

ASSIGNOR:

VARNEY, MICHAEL D.

DOC DATE: 09/30/1997

ASSIGNOR:

ROMINES, WILLIAM H.

DOC DATE: 09/30/1997

ASSIGNOR:

PALMER, CYNTHIA L.

DOC DATE: 10/01/1997

ASSIGNOR:

DEAL, JUDITH G.

DOC DATE: 09/30/1997

ASSIGNEE:

AGOURON PHARMACEUTICALS, INC. 1)350 NORTH TORREY PINES ROAD IA JOLLA, CALIFORNIA 92037

SERIAL NUMBER: 08448556
PATENT NUMBER:

FILING DATE: 06/07/1995

ISSUE DATE:

2 1998

MEGAL HENDTOON, FARAFORE GARRETT AND DUNNER, LET

Man OS SOR





8785/0943 PAGE 2

SERIAL NUMBER: 08449602

PATENT NUMBER:

FILING DATE: 05/24/1995 ISSUE DATE:

MAYA BENNETT, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



11-13-1997

Rev. Date: 11-93

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	. 1	To the Honorable Commissio	n of Patents and Trademarks:
	MK	Please record the attached original Please record the Please recor	inal documents or copy thereof.
	1.	Name of conveying party(ies): Michael D. VARNEY, William H. ROMINES,	Name and address of receiving party(ies):
		Cynthia L. PALMER, and Judith G. DEALS	Name: AGOURON PHARMACEUTICALS, INC.
		Additional name(s) of conveying parties attached? ☐ Yes ☑ No	Internal Address:
		152 7 m	Street Address: 10350 North Torrey Pines Road
	3.	Nature of conveyance: 199 I S	City/State/Zip: La Jolla, CA 92037 Additional name(s) & address(es) attached? □ Yes ⊠ No
	,		E 1 C
		□ Security Agreement □ Change of Name □ Other:	Additional name(s) & address(es) attached?
		u Ottler.	□ Yes ⊗ No
*	- - -	Execution Date(s): September 30, 1997,	ني هي
		September 30, 1997, October 1, 1997, and	6, 6,
-	ļ	September 30, 1997, respectively	
	4.	Application number(s) or patent number(s):	
		If this document is being filed together with a new ar	oplication, the execution date of the application is: (Date)
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		A. Patent Application No.(s)	B. Patent No.(s)
	1	08/448,556; and 08/449,602;	
		Additional numbers a	ttached? □ Yes ଁ No
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	1		6. Total number of applications and patents involved
	5.	Name and address of party to whom correspondence concerning document	(number) <u>2</u>
		should be mailed:	7. 7.116. (07.050.04)
*			7. Total fee (37 CFR 3.41):
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		City/State/Zip: Washington, D.C. 20005	overpayment to Deposit Account No. 06-0916
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	II	Attorney Docket No.: 01074.0115-01	(Attach duplicate copy of this page
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	9.	Statement and signature.	
	i	To the best of my knowledge and belief the foregoing	ng information is true and correct and any attached copy
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		William F. Rauchholz Name of Person Signing Signature	November 7, 1997
		Name of Person Signing Signature	\ / Date
		Registration No.: 34,701	Total number of pages (including cover sheet): 4





SOLE/JOINT INVENTION (Worldwide)

Atty Docket No.: 1074.0115-00

Page 1

ASSIGNMENT

WHEREAS we, the below named inventors (hereinafter referred to as "ASSIGNORS"), have made an invention entitled:

ANTIPROLIFERATIVE SUBSTITUTED 5-THIAPYRIMIDINONE AND 5-SELENOPYRIMIDINONE COMPOUNDS

for which we filed an approalion for United States Letters Patent on <u>June 7, 1995</u> (Serial No. 08/448,556);

WHEREAS we, ASSIGNORS, have further made an invention entitled:

ANTIPROLIFERATIVE SUBSTITUTED 5-THIAPYRIMIDINONE AND 5-SELENOPYRIMIDINONE COMPOUNDS

for which we filed an application for United States Letters Patent on May 24, 1995 (Serial No. 08/449,602);

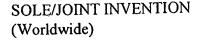
WHEREAS we, ASSIGNORS, have further made an invention entitled:

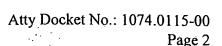
ANTIPROLIFERATIVE SUBSTITUTED 5-THIAPYRIMIDINONE AND 5-SELENOPYRIMIDINONE COMPOUNDS

for which we filed a PCT patent application on <u>December 10, 1993</u> (Application No. <u>PCT/US93/11795</u>); and

WHEREAS, AGOURON PHARMACEUTICALS, INC., a corporation of California, whose post office address is 10350 North Torrey Pines Road, La Jolla, CA 92037, (hereinafter referred to as "ASSIGNEE"), is desirous of securing the entire right, title, and interest in and to these inventions, the applications for United States Letters Patent on these inventions, the PCT patent application, and any and all Letters Patents to be issued upon these applications in the United States and in any foreign countries.

NOW THEREFORE, be it known that, for the sum of \$1.00 in hand paid, and other good and valuable consideration, the receipt and sufficiency of which from ASSIGNEE is hereby acknowledged, we, as ASSIGNORS, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the ASSIGNEE, its lawful successors and assigns, our entire right, title, and interest in and to these inventions and these applications, and all





divisions and continuations thereof, and all Letters Patents of the United States which may be granted thereon, and all reissues thereof; and all rights to claim priority on the basis of such applications, and all applications for Letters Patent which are currently or may hereafter be filed for these inventions in any foreign country, and all Letters Patents which are currently or may hereafter be granted on these inventions in any foreign country, and all renewals, extensions, and reissues thereof, and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patents for these inventions to ASSIGNEE, its successors and assigns, in accordance with the terms of this ASSIGNMENT;

AND, WE HEREBY further covenant and agree that we will, without further consideration, communicate with ASSIGNEE, its successors and assigns, any facts known to us respecting these inventions, testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to these inventions in said ASSIGNEE, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths, and generally do everything possible to aid ASSIGNEE, its successors and assigns, to obtain and enforce proper patent protection for these inventions in the United States and all foreign countries, it being understood that any expense incident to the execution of such papers shall be borne by the ASSIGNEE, its successors and assigns.

IN TESTIMONY WHEREOF, I have hereunto set my hand.

Michael D. Varney Inventor	Date: 93097
William H. Romines Inventor	Date: <u>09-30-97</u>





SOLE/JOINT INVENTION (Worldwide)

Atty Docket No.: 1074.0115-00 Page 3

Cynthia L. Palmer Inventor

Date:

Inventor